Serial No. 10/599811 Attorney Docket: 9771-016US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### **Patent Application**

**Inventors:** Clemens Ottermann et al.

**Serial No.:** 10/599811

**Conf. No.:** 4985

Filing Date: 10/10/2006

Art Unit: Not Yet Assigned
Examiner: Not Yet Assigned

**Docket No.: 9771-016US** 

**Title:** Organic, electro-optical element with increased decoupling efficiency

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### **Request for Correction of Filing Receipt**

The spelling for the 2<sup>nd</sup> inventor is incorrect on the Filing Receipt. The information on the Filing Receipt is "<u>Thomas Daubler</u>" and it should be "<u>Thomas **Däubler**</u>". Enclosed are the following papers related to the above-identified patent application:

- Supplemental Application Data Sheet with correction underlined
- Copy of original Filing Receipt

Pursuant to 37 CFR 1.136(a)(3), please treat this and any concurrent or future reply in this application that requires a petition for an extension of time for its timely submission as incorporating a petition for extension of time for the appropriate length of time.

Respectfully, Clemens Ottermann et al.

### By /Jason Paul DeMont/

Jason Paul DeMont Reg. No. 35793 Attorney for Applicants 732-687-7990

DeMont & Breyer, L.L.C. Suite 250 100 Commons Way Holmdel, NJ 07733 United States of America

# **Supplemental Application Data Sheet**

City of Residence::

Application Information	
Application Number::	10599811
Filing Date::	10/10/06
Application Type::	Regular
Subject Matter::	Utility
Suggested Classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R?::	None
Title::	Organic, electro-optical element with increased
	decoupling efficiency
Attorney Docket Number::	9771-016US
Request for Early Publication::	No
Request for Non-Publication::	No
Suggested Drawing Figure::	
Total Drawing Sheets::	8
Small Entity::	No
Petition Included::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers::	
Secrecy Order in Parent Appl.?::	No
Applicant Information	
Applicant Authority Type::	Inventor
Primary Citizenship Country::	Germany
Status::	Full Capacity
Given Name::	Clemens
Family Name::	Ottermann
Name Suffix:	

DE-65795 Hattersheim

State or Province of Residence:

Country of Residence:: Germany

Street of Mailing Address:: Eppsteiner Str. 4

City of Mailing Address:: DE-65795 Hattersheim

State or Province of Mailing Address::

Country of Mailing Address:: Germany

Postal or Zip Code of Mailing Address:

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Germany

Status:: Full Capacity

Given Name:: Thomas
Family Name:: Däubler

Name Suffix::

City of Residence:: 68199 Mannheim

State or Province of Residence:

Country of Residence:: Germany

Street of Mailing Address:: Karl-Marx-Strasse 15
City of Mailing Address:: 68199 Mannheim

State or Province of Mailing Address::

Country of Mailing Address:: Germany

Postal or Zip Code of Mailing Address:

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Germany

Status:: Full Capacity

Given Name:: Steffen Family Name:: Reichel

Name Suffix::

City of Residence:: DE-67678 Mehlingen

State or Province of Residence:

Country of Residence:: Germany

Street of Mailing Address:: Dreihübelstrasse 7A
City of Mailing Address:: DE-67678 Mehlingen

Country of Mailing Address:: Germany

Postal or Zip Code of Mailing Address:

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Germany

Status:: Full Capacity

Given Name:: Edgar

Family Name:: Pawlowski

Name Suffix::

City of Residence:: DE-55271 Stadingen-Elsheim

State or Province of Residence:

Country of Residence:: Germany

Street of Mailing Address:: Rieslingstrasse 4a

City of Mailing Address:: DE-55271 Stadingen-Elsheim

State or Province of Mailing Address::

Country of Mailing Address:: Germany

Postal or Zip Code of Mailing Address:

### **Correspondence Information**

Correspondence Customer Number:: 22897

### Representative Information

Representative Customer Number ::	22897
-----------------------------------	-------

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::	
This Application a 371 of International		PCT/EP2005/004356	04/22/2005	

# **Foreign Priority Information**

Country::	Application Number::	Filing Date::	Priority Claimed::
DE	E 10 2004 020 245.1		Yes

# **Assignee Information**

Assignee name:: Schott AG
City of Mailing Address:: 55122 Mainz

State or Province of Mailing Address::

Country of Mailing Address:: Germany



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/599,811	06/12/2007	2872	2080	9771-016US	41	3

**CONFIRMATION NO. 4985** 

Date Mailed: 07/12/2007

FILING RECEIPT

\*OC000000024770432\*

22897 DEMONT & BREYER, LLC 100 COMMONS WAY HOLMDEL, NJ 07733

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Clemens Ottermann, Hattersheim, GERMANY; Thomas Daubler, Mainz, GERMANY; Steffen Reichel, Mehlingen, GERMANY; Edgar Pawlowski, Stadingen-Elsheim, GERMANY;

#### **Assignment For Published Patent Application**

SCHOTT AG, 55122 Mainz, DE

Power of Attorney: The patent practitioners associated with Customer Number 22897.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP05/04356 04/22/2005

#### Foreign Applications

GERMANY 10 2004 020 245.1 04/22/2004

If Required, Foreign Filing License Granted: 07/11/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/599,811

**Projected Publication Date: 10/18/2007** 

Non-Publication Request: No

Early Publication Request: No

Title

Organic, Eelectro-Optical Element With Increased Decoupling Efficiency

**Preliminary Class** 

359

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).